

# Agenda



**AGENDA for a meeting of the AUDIT COMMITTEE in COMMITTEE ROOM B at County Hall, Hertford on FRIDAY, 1 DECEMBER 2017 at 10.00 AM**

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## **MEMBERS OF THE COMMITTEE (10)** (Quorum 3)

P Bibby, S N Bloxham, F Button (*Chairman*), S Gordon (Substituting *for* J M Graham), C K Hogg, A K Khan, A J S Mitchell, T J Williams, W J Wyatt-Lowe (*Vice-Chairman*), P M Zukowskyj,

Meetings of the Committee are open to the public (this includes the press) and attendance is welcomed. However, there may be occasions when the public are excluded from the meeting for particular items of business. Any such items are taken at the end of the public part of the meeting and are listed under "Part II ('closed') agenda".

Committee Room B fitted with an audio system to assist those with hearing impairment. Anyone who wishes to use this should contact main (front) reception.

## **PART I (PUBLIC) AGENDA**

### **1. MINUTES**

To confirm the minutes of the meeting held on 11 September 2017.

### **2. HERTFORDSHIRE FIRE AND RESCUE AUTHORITY STATEMENT OF ASSURANCE 2016/17**

Report of the Director Community Protection

### **3. HERTFORDSHIRE COUNTY COUNCIL AND PENSION FUND ANNUAL AUDIT LETTER FOR THE YEAR ENDED 31 MARCH 2017**

Report of Ernst and Young

### **4. UPDATE ON RESPONSE TO THE AUDIT RESULTS REPORT AND PREPARATIONS FOR 2017/18 AUDIT**

Report of the Director of Resources

### **5. MID-YEAR REPORT ON THE TREASURY MANAGEMENT SERVICE AND PRUDENTIAL INDICATORS 2017/18**

Report of the Chief Finance Officer

**6. RISK MANAGEMENT UPDATE REPORT**

Report of the Director of Resources

**7. HOMECARE WORKFORCE IN HERTFORDSHIRE**

Report of the Director of Adult Care Services (ACS)

**8. INTERNAL AUDIT PROGRESS REPORT**

Report of the Head of Assurance Services

**9. SHARED ANTI FRAUD SERVICE REPORT**

Report of the Head of Assurance Services

**10. FUTURE WORK PROGRAMME**

The Committee is invited to agree its future rolling work programme, suggested as follows:-

<b>Monday, 26 March 2018: 10.00am</b>	<ul style="list-style-type: none"><li>• <b>Audit Plan 2018/19 – County Council</b></li><li>• <b>Audit Plan 2018/19 – Pension Fund</b></li><li>• Preparation for 2017/18 Accounts</li><li>• Letters of Representation on Management and Oversight of The Hertfordshire County Council (Including Firefighters’ Pension Fund) And Hertfordshire Pension Fund Accounts 2016/17</li><li>• Risk Management Annual Report 2016/17</li><li>• Risk Focus Report</li><li>• Internal Audit Progress Report</li><li>• Internal Audit Plan 2018/19</li><li>• SAFS HCC Anti-Fraud Action Plan 2018/19</li><li>• SAFS Annual Report</li><li>• <b>PREVENT update report</b></li></ul>
<b>May 2018, date tbc</b>	<ul style="list-style-type: none"><li>• Annual Governance Statement 2017/18 and Code of Corporate Governance- <b>moved forward from July meeting</b></li><li>• Annual Assurance Statement and Internal Audit Annual Report 2017/18- <b>moved forward from July meeting</b></li></ul>
<b>Wednesday, 25 July 2018: 10.00am</b>	<ul style="list-style-type: none"><li>• Internal Audit Progress Report</li><li>• Risk Management Update Report</li><li>• Risk Focus Report</li><li>• HCC Audit Results Report 2017/18</li><li>• Response To The Audit Results Report – HCC (Including Fire Fighters’ Pension Fund) Financial Statements</li></ul>

	<ul style="list-style-type: none"> <li>• Annual Statement Of Accounts 2017/18– HCC (Including Fire Fighters’ Pension Fund) Financial Statements</li> <li>• Audit Results Report 2017/18 – HCC Pension Fund</li> <li>• Response To The Audit Results Report 2017/18 – HCC Pension Fund</li> <li>• Annual Report 2017/18</li> <li>• End of Year Report on the Treasury Management Service and Prudential Indicators 2017/18</li> <li>• Whistle Blowing Annual Report 2017/18</li> </ul>
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## **EXCLUSION OF PRESS AND PUBLIC**

The Chairman will move:-

*“That under Section 100(A)(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in paragraph 7 of Part 1 of Schedule 12A to the said Act and the public interest in maintaining the exemption outweighs the public interest in disclosing the information.”*

## **PART II (‘CLOSED’) AGENDA**

### **1. MINUTES PART II**

To confirm the PART II minutes of the meeting held on 11 September 2017.

### **2. RISK FOCUS REPORT – PREVENT – Radicalisation**

Report of the Director of Community Protection and Chief Fire Officer

**If you require further information about this agenda please contact Theresa Baker, Democratic Services Officer, on telephone no (01992) 556545 or by e-mail to [theresa.baker@hertfordshire.gov.uk](mailto:theresa.baker@hertfordshire.gov.uk)**

Agenda documents are also available on the internet at:

<https://cmis.hertfordshire.gov.uk/hertfordshire/Calendarofcouncilmeetings.aspx>